

Commission Sanction Standards for Advanced Registered Nurse Practitioner Practice Violations Involving Scope/Standards of ARNP Practice

The purpose of these standards is to protect the public of Washington State. These standards are a nonbinding framework used as a resource by reviewing commission members, charging panels, and attorneys when sanctioning advanced registered nurse practitioners (ARNPs). The methodology applies Just Culture to the violations and associated conditions.

Abbreviations and Definition of Charges and Conditions

- **SOA:** Statement of Allegations
- **SOC:** Statement of Charges
- **RCM:** Reviewing Commission Member
- **Developing a pattern:** May include, but not limited to, several incidents of a failure to assess, diagnosis, treat, or prescribe/dispense appropriately
- **Established pattern:** May include, but not limited to, previous violations or a large number of instances of failures to assess, diagnosis, treat, or prescribe/dispense appropriately
- **Single significant error:** Violation descriptions related to the registered nurse and licensed practical nurse sanctioning standards. The conditions associated with this violation are more substantial than the conditions associated with a single occurrence and thus provides the RCM more discretion as the violation, aggravating, and mitigating circumstances warrant
- **Successfully complete coursework approved by the NCQAC:** The RCM will work with the Discipline Unit staff to determine the available and appropriate remedial coursework content required for meeting the sanction requirements. The course work will be selected based upon the remedial education (content areas) required by the respondent. The Discipline Unit staff will maintain a list and provide to the respondent remedial course(s).The respondent is responsible to pay for the remedial course work registration including travel and accommodations, if necessary, to complete the condition.

Practice Evaluation

The respondent shall submit to a thorough practice review by an evaluator approved in advance by the Nursing Care Quality Assurance Commission (NCQAC):

- The evaluator shall be a licensed ARNP or physician in Washington State with at least ten years of experience in the specific field of practice. The evaluator shall not have open disciplinary complaints or be subject to disciplinary action. The evaluation shall include, but not be limited to, oral exchanges with the respondent to thoroughly review the respondent's knowledge and observations of patient assessments, plans, and interventions. The respondent shall provide a copy of the order to the evaluator prior to the evaluation process.
- The respondent shall cause the evaluator to submit a written evaluation report of the practice review to NCQAC within sixty days of the effective date of the order or within thirty days of the resumption of active practice (if not currently in active practice). The evaluation report shall include any and all recommendations for the correction or improvement of the respondent's practice and skills, and shall have attached all documents related to the practice review, including correspondence regarding the practice review between the respondent and the evaluator.

Preceptor

The respondent shall engage the services of a preceptor who shall be licensed as an ARNP or physician currently licensed in Washington State and approved in advance by the NCQAC within sixty days of the effective date of the order, or within sixty days of the resumption of active practice (if not currently in active practice). The respondent shall consult with the preceptor to review cases of current patients. The role of the preceptor is to provide oversight to ensure that patients meet the restrictions of "seeing certain types of patients" condition; to ensure the proper level of skill and judgment is being exercised in all cases; and to provide written reports to the NCQAC concerning compliance with the order including recommendations contained in the practice evaluation report. A back-up practice monitor (who meets the provisions of this section) shall serve as substitute in the event the approved preceptor is temporarily unavailable. The respondent shall provide a copy of the order to the preceptor prior to entering into a practice monitor agreement. The written agreement shall be filed with the NCQAC within seven business days of its execution.

Random Practice Reviews and Record Audits

NCQAC investigators may conduct random practice reviews at the practice site of the respondent to perform record audits and interview colleagues, patients, and staff. Investigators will provide data obtained during the review to the Discipline Unit and/or RCM for clinical review.

Cost Recovery Fines

*Rationale for reducing the standard cost recovery fine of \$1,000 to \$500 – the remedial coursework can be costly and the respondent may likely have to travel out-of-state to take the course(s), adding to the total cost associated with the condition.

**Rationale for omitting the standard cost recovery fine of \$1,000 – the competence assessment and educational intervention courses are costly and require the respondent to travel out-of-state

Source Documents Used to Develop the Sanction Standards for Charging ARNP License

[WAC 246-840-300 ARNP Scope of Practice](#)

[National Organization of Nurse Practitioner Faculties \(NONPF\) ARNP Scope of Practice \(2014\)](#)

[NCSBN Model Act and Model Rules \(2014\)](#)

[Outcome Engenuity: Just Culture](#)

Description of Violation	Aggravating and Mitigating Factors	Harm (Tier)	Charge	Duration of Sanction	Recovery Cost or Fine	Conditions	Time for Completion
<p>Failure to Assess Single occurrence of failure to document assessment</p>	<p>Likely Cause(s) of Error</p> <ul style="list-style-type: none"> Lack of time management skill and/or organizational ability 	No, minimal, or low risk of harm	Close Case SOA	NA 0-3 years	Cost recovery up to \$500 per violation*	<ol style="list-style-type: none"> Successfully complete course on medical record keeping approved by NCQAC Submit course evaluation 	<ol style="list-style-type: none"> 90 days 120 days
<p>Failure to Assess Single occurrence of failure to assess or intervene on the patient's behalf</p> <p>Error(s)</p> <ul style="list-style-type: none"> Failure to examine patient and establish diagnosis by history, physical examination, and other methods of assessment <p>1 of the 4 following</p> <ul style="list-style-type: none"> Missing assessment Inappropriate or inaccurate assessment or examination Lack of recognition of changing condition Failure to document appropriate assessment 	<p>Likely Cause(s) of Error</p> <ul style="list-style-type: none"> Incomplete patient assessment Lack of competence Lack of knowledge Lack of time management skill and/or organizational ability Inappropriate clinical judgment 	<p>(A) No, minimal, or low risk of patient harm</p> <p>(B) Patient harm or risk of severe harm</p> <p>(C) Severe harm or death</p>	SOA/SOC SOA/SOC SOA/SOC	0-3 years 2-5 years 3 years minimum	Cost recover of up to \$500 per violation*	<ol style="list-style-type: none"> Successfully complete course work approved by NCQAC Submit course evaluation for approval Commission approval of current and future employment Restrictions on seeing certain types of patients Practice evaluation Submission of written practice evaluation <p>OR</p> <ol style="list-style-type: none"> Suspension of license. If suspended, remedial training would be required prior to reinstatement 	<ol style="list-style-type: none"> 90 days 120 days Duration of sanction Duration of sanction 30 days 60 days RCM discretion

Description of Violation	Aggravating and Mitigating Factors	Harm (Tier)	Charge	Duration of Sanction	Recovery Cost or Fine	Conditions	Time for Completion
<p>Developing pattern of failure to assess or intervene on the patient's behalf</p> <p>Error(s)</p> <ul style="list-style-type: none"> Failure to examine patient and establish diagnoses by history, physical examination, and other methods of assessment <p>2 to 4 of the following</p> <ul style="list-style-type: none"> Missing assessment Inappropriate or inaccurate assessment or examination Lack of recognition of changing condition Failure to document appropriate assessment 	<p>Likely Cause(s) of Error</p> <ul style="list-style-type: none"> Incomplete patient assessment Lack of competence Lack of knowledge Lack of time management skill and/or organizational ability Inappropriate clinical judgment Disregard for patient safety and well-being 	(A) No, minimal, or low risk of patient harm	SOA/SOC	0-3 years	Cost recovery of up to \$500 per violation*	<ol style="list-style-type: none"> Successfully complete course work approved by NCQAC Submit course evaluation for approval Practice evaluation Submission of written practice evaluation Identify a preceptor Meet with preceptor twice a month and review 10% of charts. Preceptor submits quarterly performance evaluations. Commission approval of current and future employment Restrictions on seeing certain types of patients Random practice reviews and record audits Probation <p>OR</p> <ol style="list-style-type: none"> Suspension of license. If suspended, remedial training would be required prior to reinstatement 	1. 90 days
		(B) Patient harm or risk of severe harm	SOA/SOC	2-5 years			2. 120 days
		(C) Severe harm or death	SOA/SOC	3 years minimum			3. 30 days 4. 60 days 5. 30 days 6. Duration of sanction 7. Duration of sanction 8. Duration of sanction 9. Duration of sanction 10. Duration of sanction

Description of Violation	Aggravating and Mitigating Factors	Harm (Tier)	Charge	Duration of Sanction	Recovery Cost or Fine	Conditions	Time for Completion
<p>Established pattern of failure to assess or intervene on the patient's behalf</p> <p>Error(s)</p> <ul style="list-style-type: none"> • Failure to examine patient and establish diagnoses by history, physical examination, and other methods of assessment – Missing assessment – Inappropriate or inaccurate assessment or examination – Lack of recognition of changing condition – Failure to document appropriate assessment 	<p>Likely Cause(s) of Error</p> <ul style="list-style-type: none"> • Incomplete patient assessment • Lack of competence • Lack of knowledge • Lack of time management skill and/or organizational ability • Inappropriate clinical judgment • Disregard for patient safety and well-being 	<p>(A) No, minimal, or low risk of patient harm</p> <p>(B) Patient harm or risk of severe harm</p> <p>(C) Severe harm or death</p>	<p>SOA/SOC</p> <p>SOA/SOC</p> <p>SOA/SOC</p>	<p>0-3 years</p> <p>2-5 years</p> <p>3 years minimum</p>	<p>Cost recovery of up to \$500 per violation*</p>	<ol style="list-style-type: none"> 1. Attend competence assessment and educational intervention course (at own expense) to assess knowledge, clinical judgment, communication, and documentation 2. Comply with competence assessment and educational intervention plan 3. Commission approval of current and future employment 4. Restrictions on seeing certain types of patients 5. Random practice reviews and record audits 6. Probation <p>OR</p> <ol style="list-style-type: none"> 7. Suspension of license. If suspended, remedial training would be required prior to reinstatement 	<ol style="list-style-type: none"> 1. 90 days 2. Duration of education plan 3. Duration of sanction 4. Duration of sanction 5. Duration of sanction 6. Duration of sanction 7. RCM discretion

Description of Violation	Aggravating and Mitigating Factors	Harm (Tier)	Charge	Duration of Sanction	Recovery Cost or Fine	Conditions	Time for Completion
<p>Single significant assessment error or to intervene on the patient's behalf</p> <p>Error(s)</p> <ul style="list-style-type: none"> • Failure to examine patient and establish diagnoses by history, physical examination, and other methods of assessment – Missing assessment – Inappropriate or inaccurate assessment or examination – Lack of recognition of changing condition – Failure to document appropriate assessment 	<p>Likely Cause(s) of Error</p> <ul style="list-style-type: none"> • Incomplete patient assessment • Lack of competence • Lack of knowledge • Lack of time management skill and/or organizational ability • Inappropriate clinical judgment • Disregard for patient safety and well-being 	<p>(A) No, minimal, or low risk of patient harm</p> <p>(B) Patient harm or risk of severe harm</p> <p>(C) Severe harm or death</p>	<p>SOA/SOC</p> <p>SOA/SOC</p> <p>SOA/SOC</p>	<p>0-3 years</p> <p>2-5 years</p> <p>3 years minimum</p>	<p>No cost recovery</p>	<ol style="list-style-type: none"> 1. Attend competence assessment and educational intervention course (at own expense) to assess knowledge, clinical judgment, communication, and documentation 2. Comply with assessment and educational intervention education plan 3. Commission approval of current and future employment 4. Restrictions on seeing certain types of patients 5. Random practice reviews and record audits 6. Probation <p>OR</p> <ol style="list-style-type: none"> 7. Suspension of license. If suspended, remedial training would be required prior to reinstatement 	<ol style="list-style-type: none"> 1. 90 days 2. Duration of education plan 3. Duration of sanction 4. Duration of sanction 5. Duration of sanction 6. Duration of sanction 7. RCM discretion
<p>Single occurrence of failure to document diagnosis</p>	<p>Likely Cause(s) of Error</p> <ul style="list-style-type: none"> • Lack of time management skill and/or organizational 	<p>No, minimal, or low risk of patient harm</p>	<p>Close Case</p> <p>SOA</p>	<p>NA</p> <p>0-3 years</p>	<p>Cost recovery of up to \$500 per violation*</p>	<ol style="list-style-type: none"> 1. Successfully complete a course on medical record keeping, approved by NCQAC 2. Submit course evaluation 	<ol style="list-style-type: none"> 1. 90 days 2. 120 days

Description of Violation	Aggravating and Mitigating Factors	Harm (Tier)	Charge	Duration of Sanction	Recovery Cost or Fine	Conditions	Time for Completion
<p>Single occurrence failure to diagnose</p> <p>Error(s)</p> <ul style="list-style-type: none"> Failure to order, collect, perform, and interpret diagnostic tests <p>1 of the 4 following</p> <ul style="list-style-type: none"> Missing diagnosis Inappropriate or inaccurate diagnosis Lack of recognition of changing condition Failure to document rationale for diagnosis 	<p>Likely Cause(s) of Error</p> <ul style="list-style-type: none"> Incomplete or inaccurate patient assessment Lack of competence Lack of knowledge Lack of time management skill and/or organizational ability Inappropriate clinical judgment Disregard for patient safety and well-being 	<p>(A) No, minimal, or low risk of patient harm</p> <p>(B) Patient harm or risk of severe harm</p> <p>(C) Severe harm or death</p>	<p>SOA/SOC</p> <p>SOA/SOC</p> <p>SOA/SOC</p>	<p>0-3 years</p> <p>2-5 years</p> <p>3 years minimum</p>	<p>Cost recovery of up to \$500 per violation</p>	<ol style="list-style-type: none"> Successfully complete course work approved by NCQAC Submit course evaluation for approval Commission approval of current and future employment Restrictions on seeing certain types of patients Practice evaluation Submission of written practice evaluation Random practice reviews and record audits <p>OR</p> <ol style="list-style-type: none"> Suspension of license. If suspended, remedial training would be required prior to reinstatement 	<ol style="list-style-type: none"> 90 days 120 days Duration of sanction Duration of sanction 30 days 60 days Duration of sanction unless modified RCM discretion

Description of Violation	Aggravating and Mitigating Factors	Harm (Tier)	Charge	Duration of Sanction	Recovery Cost or Fine	Conditions	Time for Completion
Developing pattern of failure to accurately diagnose Error(s) Failure to order, collect, perform, and interpret diagnostic tests 2 to 4 of the following – Missing diagnosis – Inappropriate or inaccurate diagnosis – Lack of recognition of changing condition – Failure to document rationale for diagnosis	Likely Cause(s) of Error <ul style="list-style-type: none"> • Incomplete or inaccurate patient assessment • Lack of competence • Lack of knowledge • Lack of time management skill and/or organizational ability • Inappropriate clinical judgment • Disregard for patient safety and well-being 	(A) No, minimal, or low risk of patient harm	SOA/SOC	0-3 years	Cost recovery of up to \$500 per violation*	1. Successfully complete a course approved by NCQAC 2. Submit course evaluation for approval 3. Identify a preceptor 4. Meet with preceptor twice a month and review 10% of charts 5. Preceptor submit quarterly performance evaluations 6. Commission approval of current and future employment 7. Restrictions on seeing certain types of patients 8. Random practice reviews and record audits 9. Probation OR 10. Suspension of license. If suspended, remedial training would be required prior to reinstatement	1. 90 days
		(B) Patient harm or risk of severe harm	SOA/SOC	2-5 years			2. 120 days
		(C) Severe harm or death	SOA/SOC	3 years minimum			3. 30 days 4. Duration of sanction 5. Duration of sanction 6. Duration of sanction 7. Duration of sanction 8. Duration of sanction 9. Duration of sanction

Description of Violation	Aggravating and Mitigating Factors	Harm (Tier)	Charge	Duration of Sanction	Recovery Cost or Fine	Conditions	Time for Completion
<p>Developing pattern of failure to accurately diagnose</p> <p>Error(s) Failure to order, collect, perform, and interpret diagnostic tests</p> <p>2 to 4 of the following</p> <ul style="list-style-type: none"> - Missing diagnosis - Inappropriate or inaccurate diagnosis - Lack of recognition of changing condition - Failure to document rationale for diagnosis 	<p>Likely Cause(s) of Error</p> <ul style="list-style-type: none"> • Incomplete or inaccurate patient assessment • Lack of competence • Lack of knowledge • Lack of time management skill and/or organizational ability • Inappropriate clinical judgment • Disregard for patient safety and well-being 	<p>(A) No, minimal, or low risk of patient harm</p> <p>(B) Patient harm or risk of severe harm</p> <p>(C) Severe harm or death</p>	<p>SOA/SOC</p> <p>SOA/SOC</p> <p>SOA/SOC</p>	<p>0-3 years</p> <p>2-5 years</p> <p>3 years minimum</p>	<p>No cost recovery</p>	<ol style="list-style-type: none"> 1. Attend competence assessment and educational intervention course (at own expense) to assess knowledge, clinical judgment, communication, and documentation 2. Comply with competence assessment and educational intervention plan 3. Commission approval of current and future employment 4. Restrictions on seeing certain types of patients 5. Random practice reviews and record audits 6. Probation <p>OR</p> <ol style="list-style-type: none"> 7. Suspension of license. If suspended, remedial training would be required prior to reinstatement 	<ol style="list-style-type: none"> 1. 90 days 2. Duration of education plan 3. Duration of sanction 4. Duration of sanction 5. Duration of sanction 6. Duration of sanction 7. RCM discretion

Description of Violation	Aggravating and Mitigating Factors	Harm (Tier)	Charge	Duration of Sanction	Recovery Cost or Fine	Conditions	Time for Completion
Single significant diagnosis error	Likely Cause(s) of Error <ul style="list-style-type: none"> • Incomplete or inaccurate patient assessment • Lack of competence • Lack of knowledge • Lack of time management skill and/or organizational ability • Inappropriate clinical judgment • Disregard for patient safety and well-being 	(A) No, minimal, or low risk of patient harm	SOA/SOC	0-3 years	No cost recovery**	1. Attend competence assessment and educational intervention course (at own expense) to assess knowledge, clinical judgment, communication, and documentation	1. 90 days
		(B) Patient harm or risk of severe harm	SOA/SOC	2-5 years		2. Comply with competence assessment and educational intervention plan	2. Duration of education plan
		(C) Severe harm or death	SOA/SOC	3 years minimum		3. Commission approval of current and future employment	3. Duration of sanction
						4. Restrictions on seeing certain types of patients	4. Duration of sanction
						5. Random practice reviews and record audits	5. Duration of sanction
		6. Probation	6. Duration of sanction				
		OR					

Description of Violation	Aggravating and Mitigating Factors	Harm (Tier)	Charge	Duration of Sanction	Recovery Cost or Fine	Conditions	Time for Completion
<p>Failure to treat (not pertaining to prescriptive and/or dispensing authority)</p> <p>Single occurrence of failure to document treatment</p> <p>Error(s)</p> <ul style="list-style-type: none"> • Failure to identify, develop, document, implement, and evaluate plan of care • Failure to document and/or provide rationale for therapies according to the standard of care • Failure to monitor the effects of therapies <ul style="list-style-type: none"> – Inappropriate ordering of laboratory studies – Inappropriate responding to laboratory results • Failure to perform procedures or provide services within scope of practice 	<p>Likely Cause(s) of Error</p> <ul style="list-style-type: none"> • Lack of time management skill and/or organizational ability • Lack of knowledge of appropriate scope of practice • Inadequate or inaccurate patient assessment • Lack of competence (e.g. practicing outside the scope of practice) • Inappropriate clinical judgment 	<p>(A) No, minimal, or low risk of patient harm</p> <p>(B) Patient harm or risk of severe harm</p> <p>(C) Severe harm or death</p>	<p>SOA/SOC</p> <p>SOA/SOC</p> <p>SOA/SOC</p>	<p>0-3 years</p> <p>2-5 years</p> <p>3 years minimum</p>	<p>Cost recover of up to \$500 per violation*</p>	<ol style="list-style-type: none"> 1. Successfully complete course work, approved by NCQAC 2. Submit course evaluation for approval 3. Commission approval of current and future employment 4. Restrictions on seeing certain types of patients 5. Practice evaluation 6. Submission of written practice evaluation 7. Restriction on performing certain procedures 8. Random practice reviews and record audits <p>OR</p> <ol style="list-style-type: none"> 9. Suspension of license. If suspended remedial training would be required prior to reinstatement 	<ol style="list-style-type: none"> 1. 90 days 2. 120 days 3. Duration of sanction 4. Duration of sanction 5. 30 days 6. 60 days 7. Duration of sanction 8. Duration of sanction 9. RCM discretion

Description of Violation	Aggravating and Mitigating Factors	Harm (Tier)	Charge	Duration of Sanction	Recovery Cost or Fine	Conditions	Time for Completion
<p>Developing pattern of failure to treat</p> <p>2-4 incidents of</p> <ul style="list-style-type: none"> • Failure to identify, develop, document, implement, and evaluate plan of care • Failure to document and/or provide rationale for therapies according to the standard of care • Failure to monitor the effects of therapies <ul style="list-style-type: none"> – Inappropriate ordering of laboratory studies – Inappropriate responding to laboratory results • Failure to perform procedures or provide care services within scope of practice 	<p>Likely Cause(s) of Error</p> <ul style="list-style-type: none"> • Lack of knowledge of appropriate scope of practice • Inadequate or inaccurate patient assessment • Lack of competence (e.g. practicing outside the scope of practice) • Lack of knowledge or competence of safe treatment standards • Lack of time management skill and/or organizational ability • Inappropriate clinical judgment • Disregard for patient safety and wellbeing 	<p>(A) No, minimal, or low risk of patient harm</p> <p>(B) Patient harm or risk of severe harm</p> <p>(C) Severe harm or death</p>	<p>SOA/SOC</p> <p>SOA/SOC</p> <p>SOA/SOC</p>	<p>0-3 years</p> <p>2-5 years</p> <p>3 years minimum</p>	<p>Cost recover of up to \$500 per violation*</p>	<ol style="list-style-type: none"> 1. Successfully complete course work, approved by NCQAC 2. Submit course evaluation for approval 3. Practice evaluation 4. Submission of written practice evaluation 5. Identify a preceptor 6. Meet with preceptor twice a month and review 10% of charts; preceptor submit quarterly performance evaluations 7. Commission approval of current and future employment 8. Restrictions on seeing certain types of patients 9. Restriction on performing certain procedures 10. Random practice reviews and record audits 11. Probation <p>OR</p> <ol style="list-style-type: none"> 12. Suspension of license. If suspended remedial training would be required prior to reinstatement 	<ol style="list-style-type: none"> 1. 90 days 2. 120 days 3. 30 days 4. 60 days 5. 30 days 6. Duration of sanction 7. Duration of sanction 8. Duration of sanction 9. Duration of sanction 10. Duration of sanction 11. Duration of sanction 12. RCM discretion

Description of Violation	Aggravating and Mitigating Factors	Harm (Tier)	Charge	Duration of Sanction	Recovery Cost or Fine	Conditions	Time for Completion
Single significant treatment error	Likely Cause(s) of Error <ul style="list-style-type: none"> Lack of knowledge of appropriate scope of practice Inadequate or inaccurate patient assessment Lack of competence (e.g. practicing outside the scope of practice) Lack of knowledge or competence of safe treatment standards Lack of time management skill and/or organizational ability Inappropriate clinical judgment Disregard for patient safety and wellbeing 	(A) No, minimal, or low risk of patient harm	SOA/SOC	0-3 years	No cost recovery*	1. Attend competence assessment and educational intervention course (at own expense) to assess knowledge, clinical judgment, communication, and documentation 2. Comply with and competence assessment and educational intervention plan 3. Commission approval of current and future employment 4. Restrictions on seeing certain types of patients 5. Restriction on performing certain procedures 6. Random practice reviews and record audits 7. Probation OR 8. Suspension of license. If suspended remedial training would be required prior to reinstatement	1. 90 days
		(B) Patient harm or risk of severe harm	SOA/SOC	2-5 years			2. Duration of education plan
		(C) Severe harm or death	SOA/SOC	3 years minimum			3. Duration of sanction

Description of Violation	Aggravating and Mitigating Factors	Harm (Tier)	Charge	Duration of Sanction	Recovery Cost or Fine	Conditions	Time for Completion
<p>Prescriptive and/or Dispensing Authority Single occurrence of violations of prescriptive and/or dispensing authority</p> <ul style="list-style-type: none"> • Prescribing, dispensing, administering, or distributing drugs in an unsafe manner or without adequate instructions to patients according to acceptable and prevailing standards • Prescribing, dispensing, administering, or distributing drugs for other than therapeutic or prophylactic purposes • Prescribing or distributing drugs to individuals who are not patients of the ARNP or who are not within the ARNPs role and population focus 	<p>Likely Cause(s) of Error</p> <ul style="list-style-type: none"> • Lack of knowledge of appropriate scope of practice • Inadequate or inaccurate patient assessment • Lack of competence (e.g. practicing outside the scope of practice) • Lack of knowledge or competence of safe treatment standards • Lack of time management skill and/or organizational ability • Inappropriate clinical judgment 	<p>(A) No, minimal, or low risk of patient harm (B) Patient harm or risk of severe harm (C) Severe harm or death</p>	<p>Close Case SOA SOA/SOC</p>	<p>NA 3 years 3-5 years 3 years minimum</p>	<p>Cost recovery up to \$500 per violation*</p>	<ol style="list-style-type: none"> 1. Successfully complete course work, approved by NCQAC 2. Submit course evaluation for approval 3. Commission approval of current and future employment 4. Restrictions on seeing certain types of patients 5. Practice evaluation 6. Submission of written practice evaluation <p>OR</p> <ol style="list-style-type: none"> 7. Suspension of license. If suspended, remedial training would be required prior to reinstatement 	<ol style="list-style-type: none"> 1. 90 days 2. 120 days 3. Duration of sanction 4. Duration of sanction 5. 30 days 6. 60 days 7. RCM discretion

Description of Violation	Aggravating and Mitigating Factors	Harm (Tier)	Charge	Duration of Sanction	Recovery Cost or Fine	Conditions	Time for Completion
<p>Developing a pattern of violations of prescriptive and/or dispensing authority</p> <p>Single occurrence of violations of prescriptive and/or dispensing authority</p> <ul style="list-style-type: none"> Prescribing, dispensing, administering, or distributing drugs in an unsafe manner or without adequate instructions to patients according to acceptable and prevailing standards Prescribing, dispensing, administering, or distributing drugs for other than therapeutic or prophylactic purposes Prescribing or distributing drugs to individuals who are not patients of the ARNP or who are not within the ARNPs role and population focus 	<p>Likely Cause(s) of Error</p> <ul style="list-style-type: none"> Lack of knowledge of appropriate scope of practice Inadequate or inaccurate patient assessment Lack of competence (e.g. practicing outside the scope of practice) Lack of knowledge or competence of safe treatment standards Lack of time management skill and/or organizational ability Inappropriate clinical judgment Disregard for patient safety and wellbeing 	(A) No, minimal, or low risk of patient harm	SOA/SOC	0-3 years	Cost recovery up to \$500 per violation*	1. Successfully complete course work, approved by NCQAC	1. 90 days
		(B) Patient harm or risk of severe harm	SOA/SOC	3-5 years		2. Submit course evaluation for approval	2. 120 days
		(C) Severe harm or death		3 years minimum		3. Practice evaluation	3. 30 days
						4. Submission of written practice evaluation	4. 60 days
						5. Identify a preceptor	5. 30 days
						6. Meet with preceptor twice a month and review 10% of charts; preceptor submit quarterly performance evaluations	6. Duration of sanction
						7. Commission approval of current and future employment	7. Duration of sanction
						8. Restrictions on seeing certain types of patients	8. Duration of sanction
						9. Restrictions on performing certain procedures	9. Duration of sanction
						10. Random practice reviews and audits	10. Duration of sanction
						11. Limiting prescriptive authority	11. RCM discretion
						12. Obtain and account with PNP, use PNP in practice and mandated to run and document medication profile on every patient	12. RCM discretion
						13. Probation	
						OR	13. Duration of sanction
						14. Suspension of license. If suspended, remedial training would be required prior to reinstatement	14. RCM discretion

Description of Violation	Aggravating and Mitigating Factors	Harm (Tier)	Charge	Duration of Sanction	Recovery Cost or Fine	Conditions	Time for Completion
Established pattern of violations of prescriptive and/or dispensing authority	Likely Cause(s) of Error <ul style="list-style-type: none"> Lack of knowledge of appropriate scope of practice Inadequate or inaccurate patient assessment Lack of competence (e.g. practicing outside the scope of practice) Lack of knowledge or competence of safe treatment standards Lack of time management skill and/or organizational ability Inappropriate clinical judgment Disregard for patient safety and wellbeing 	(A) No, minimal, or low risk of patient harm	SOA/SOC	0-3 years	No cost recovery**	<ol style="list-style-type: none"> Attend competence assessment and educational intervention course (at own expense) to assess knowledge, clinical judgment, communication, and documentation Comply with competence assessment and educational intervention plan Commission approval of current and future employment Restrictions on seeing certain types of patients Restrictions on performing certain procedures Random practice reviews and audits Limiting prescriptive authority Obtain and account with PNP, use PNP in practice and mandated to run and document medication profile on every patient Probation <p>OR</p> <ol style="list-style-type: none"> Suspension of license. If suspended, remedial training would be required prior to reinstatement 	1. 90 days
		(B) Patient harm or risk of severe harm	SOA/SOC	3-5 years			2. Duration of education plan
		(C) Severe harm or death	SOA/SOC	3 years minimum			3. Duration of sanction

Description of Violation	Aggravating and Mitigating Factors	Harm (Tier)	Charge	Duration of Sanction	Recovery Cost or Fine	Conditions	Time for Completion
Single significant error of violations of prescriptive and/or dispensing authority	Likely Cause(s) of Error <ul style="list-style-type: none"> Lack of knowledge of appropriate scope of practice Inadequate or inaccurate patient assessment Lack of competence (e.g. practicing outside the scope of practice) Lack of knowledge or competence of safe treatment standards Lack of time management skill and/or organizational ability Inappropriate clinical judgment Disregard for patient safety and wellbeing 	(A) No, minimal, or low risk of patient harm	SOA/SOC	0-3 years	No cost recovery**	1. Attend competence assessment and educational intervention course course (at own expense) to assess knowledge, clinical judgment, communication, and documentation	1. 90 days
		(B) Patient harm or risk of severe harm	SOA/SOC	3-5 years		2. Comply with competence assessment and educational intervention plan	2. Duration of education plan
		(C) Severe harm or death	SOA/SOC	3 years minimum		3. Commission approval of current and future employment	3. Duration of sanction
						4. Restrictions on seeing certain types of patients	4. Duration of sanction
						5. Restrictions on performing certain procedures	5. Duration of sanction
						6. Random practice reviews and audits	6. Duration of sanction
						7. Limiting prescriptive authority	7. RCM discretion
						8. Obtain and account with PNP, use PNP in practice and mandated to run and document medication profile on every patient	8. RCM discretion
						9. Probation	9. Duration of sanction
						OR	
						10. Suspension of license. If suspended, remedial training would be required prior to reinstatement	RCM discretion

Description of Violation	Aggravating and Mitigating Factors	Harm (Tier)	Charge	Duration of Sanction	Recovery Cost or Fine	Conditions	Time for Completion
Falsification of Records Deliberate changing or falsification of documentation Error(s) <ul style="list-style-type: none"> Documenting care not provided Charting incorrect patient condition Charting to cover up error or omission 	Likely Cause(s) of Error <ul style="list-style-type: none"> Lack of knowledge or competence Lack of fiduciary concern Error in performance of procedure or intervention Disregard for patient safety and wellbeing Poor judgment 	(A) No, minimal, or low risk of patient harm	SOA/SOC	0-3 years	Cost recovery of up to \$500 per violation*	1. Successfully complete a course on medical record keeping and a course on medical ethics, boundaries, and professionalism, approved by NCQAC	1. 90 days
		(B) Patient harm or risk of severe harm	SOA/SOC	3-5 years		2. Submit course evaluation for approval	2. 120 days
		(C) Severe harm or death	SOA/SOC	3 years minimum		3. Practice evaluation	3. 30 days
						4. Submission of written practice evaluation	4. 60 days
						5. Identify a preceptor	5. 30 days
						6. Meet with preceptor twice a month and review 10% of charts; preceptor submit quarterly performance evaluations	6. Duration of sanction
						7. Commission approval of current and future employment	7. Duration of sanction
						8. Restrictions on seeing certain types of patients	8. Duration of sanction
						9. Restrictions on performing certain procedures	9. Duration of sanction
						10. Random practice reviews and record audits	10. Duration of sanction
						11. Probation	11. Duration of sanction
						OR	
						12. Suspension of license. If suspended, remedial training would be required prior to reinstatement	12. RCM discretion
Failure to comply with any term(s) or conditions of a STID or order			SOC	Suspension	Fine of up to \$1,000 per violation	1. Suspension of license	

Description of Violation	Aggravating and Mitigating Factors	Harm (Tier)	Charge	Duration of Sanction	Recovery Cost or Fine	Conditions	Time for Completion
Practice on an Expired License (Having renewed as soon as possible after learning of the expiration)	Likely Cause(s) of Error <ul style="list-style-type: none"> • Failure to have current national certification required for state licensure WAC 246-840-302 • Failure to renew state license based upon not having a current national certification <ul style="list-style-type: none"> – If evidence of an attempt to be fraudulent regarding working without a license – Prior similar violation – Patient harm or another serious violation occurred during the period of expiration 		Close case SOA	NA	Cost recovery of up to \$1,000*	1. Successfully complete a course on medical ethics, and professionalism, approved by NCQAC 2. Commission approval of current and future employment	1. 90 days 2. Duration of sanction
			SOC	0-3 years		OR 3. Suspension of license. If suspended, remedial training would be required prior to reinstatement	3. RCM discretion
			SOC	0-3 years	Cost recovery of up to \$1,000*	1. Successfully complete a course on medical ethics, and professionalism, approved by NCQAC 2. Commission approval of current and future employment	1. 90 days 2. Duration of sanction
						OR 3. Suspension of license. If suspended, remedial training would be required prior to reinstatement	3. RCM discretion