

Summary of Some Grant and Loan Programs for Drinking Water and Wastewater Projects

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Please contact Cathi Read at cathi.read@commerce.wa.gov if you would like to update your program information or if you would like an electronic copy of this document

PLANNING

Program	Eligible Projects	Eligible Applicants	Funding Available	How To Apply
CDBG-POG Community Development Block Grant – Planning-Only Grant Program	<ul style="list-style-type: none"> Comprehensive plans Infrastructure plans Feasibility studies Community action plans Low-income housing assessments 	Projects must principally benefit low- to moderate-income people in non-entitlement cities and counties. <ul style="list-style-type: none"> Cities or towns with fewer than 50,000 people Counties with fewer than 200,000 people 	Grant <ul style="list-style-type: none"> Up to \$24,000 for a single jurisdiction Up to \$35,000 for single jurisdiction projects that address urgent public health and safety needs Up to \$40,000 for multiple jurisdictions/joint application 	Applications accepted beginning May 2012 on a fund-available basis Contact: Phyllis Cole 360-725-4001 phyllis.cole@commerce.wa.gov
PWTF PLANNING Public Works Trust Fund – Planning Program	<ul style="list-style-type: none"> Single or multiple system plans covering eligible systems Updates to existing capital facilities plans Environmental studies Cultural/historical project reviews 	Counties, cities, and special-purpose districts that meet certain requirements (contact Client Service Representative). No school or port districts	Loan <ul style="list-style-type: none"> Up to \$100,000 per jurisdiction each biennium Must complete plan in 18 months 0 percent interest, 6-year term No match required 	No planning funding is anticipated for 2012-13. Note: Availability of future funds is dependent on approval by the Governor and Legislature. Contact: Bruce Lund, Client Service Manager, 360-725-3163, Bruce.lund@commerce.wa.gov Client Service Representative contact information: https://fortress.wa.gov/com/pwbrfa/NewAppPages/StaffListingPage1.aspx
CERB PLANNING Community Economic Revitalization Board – Project-Specific Planning Program	Project-specific feasibility and pre-development studies that advance community economic development goals for industrial sector business development.	Eligible statewide <ul style="list-style-type: none"> Counties, cities, towns, port districts, special districts Federally recognized tribes Municipal corporations, quasi-municipal corporations w/ economic development purposes 	Matching Grant <ul style="list-style-type: none"> Up to \$50,000 per application Requires 25 percent matching funds 	Applications accepted year-round. The Board meets six times a year. Contact: Jacki Skaught 360-725-3161 jacki.skaught@commerce.wa.gov
RCAC RURAL COMMUNITY ASSISTANCE CORPORATION Feasibility and Pre-Development Loans	Water and/or wastewater planning; environmental work; and other work to assist in developing an application for infrastructure improvements	Non-profit organizations, public agencies, tribes, and low-income rural communities with a 50,000 population or less, or 10,000 or less if guaranteed by USDA Rural Development financing	<ul style="list-style-type: none"> Maximum \$50,000 for feasibility loan Maximum \$350,000 for pre-development loan 1 year term 5.5% interest rate 	Applications accepted anytime Contact: Josh Griff 720-898-9463 jgriff@rcac.org Applications available on-line at www.rcac.org

PLANNING – continued

Program	Eligible Projects	Eligible Applicants	Funding Available	How To Apply
<p>ECOLOGY: INTEGRATED WATER QUALITY FUNDING PROGRAM State Water Pollution Control Revolving Fund</p> <p>Centennial Clean Water Fund</p>	<p>Planning projects associated with publicly-owned wastewater and stormwater facilities.</p> <p>The integrated program also funds planning and implementation of nonpoint source pollution control activities.</p>	<p>Counties, cities, towns, conservation districts, or other political subdivision, municipal or quasi-municipal corporations, and tribes</p> <p><u>Preconstruction Set-aside</u> Jurisdictions listed above with a population of 25,000 or less and a MHI (median household income) below the statewide average receive priority for loan funds.</p> <p><u>Preconstruction Set-aside (Distressed Communities)</u> Jurisdictions listed above with a population of 25,000 or less and a MHI below 80% of the statewide average.</p>	<p>Loan, at either: (SFY 2013 interest rates)</p> <ul style="list-style-type: none"> • 2.7% interest for 6-20 year term, or • 1.4% interest for 5 year term <p><u>Pre-Construction Set-aside (Distressed Communities)</u> 50% forgivable principal loan and 50% loan, at either: (SFY 2013 interest rates)</p> <ul style="list-style-type: none"> • 2.7% interest for 6-20 year term, or • 1.4% interest for 5 year term <p>Note: SFY 2014 interest rates not yet determined.</p>	<p>State Fiscal Year 2014 application cycle closes on November 2, 2012.</p> <p>Applications typically accepted September 1 through first Friday in November.</p> <p>Contact: David Dunn 360-407-6503 david.dunn@ecy.wa.gov</p> <p>http://www.ecy.wa.gov/programs/wq/funding/funding.html</p>
<p>RD PRE-DEVELOPMENT GRANTS (PPD) U.S. Dept. of Agriculture Rural Development – Rural Utilities Service – Water and Waste Disposal Direct Loans and Grants</p>	<p>Water and/or sewer planning; environmental work; and other work to assist in developing an application for infrastructure improvements</p>	<p>Low-income, small communities and systems serving areas under 10,000 population.</p>	<p>Loans; Grants in some cases, depending on funding availability Maximum \$25,000 grant Requires minimum 25% match</p>	<p>Applications accepted year-round, on a fund-available basis</p> <p>Contact: Gene Dobry 360-704-7733 eugene.dobry@wa.usda.gov http://www.rurdev.usda.gov/wa</p>
<p>RD ‘SEARCH’ GRANTS: SPECIAL EVALUATION ASSISTANCE FOR RURAL COMMUNITIES U.S. Dept. of Agriculture Rural Development – Rural Utilities Service – Water and Waste Disposal Direct Loans and Grants</p>	<p>Water and/or sewer planning; environmental work; and other work to assist in developing an application for infrastructure improvements.</p>	<p>Low-income, small communities and systems serving areas under 10,000 population.</p>	<p>Maximum \$30,000 grant. No match required.</p>	<p>Applications accepted year-round, on a fund-available basis</p> <p>Contact: Gene Dobry 360-704-7733 eugene.dobry@wa.usda.gov http://www.rurdev.usda.gov/wa</p>

PRE-CONSTRUCTION

Program	Eligible Projects	Eligible Applicants	Funding Available	How To Apply
<p>PWTF PRE-CON Public Works Trust Fund – Pre-Construction Program</p>	<p>Pre-construction activities such as preliminary engineering, design, bid-document preparation, right-of-way acquisition, environmental studies, and cultural/historic project review</p>	<p>Counties, cities, special purpose districts, and quasi-municipal organizations that meet certain requirements (contact a Client Service Representative for more information).</p> <p>No school or port districts.</p> <p>(*) NEW:</p> <ul style="list-style-type: none"> • <u>Affordability Index:</u> Affordability Index (AI) is a measure of the consumers' financial ability to pay for utility services. Applicants that qualify for AI terms can receive lower cost loan terms • <u>Performance based incentives:</u> Projects that meet contract incentives may qualify for slightly lower interest rate or longer repayment term (Policy would be considered by Public Works Board upon re-establishment of PWTF Pre-Construction loan) 	<p>Loan \$1 million per jurisdiction each biennium.</p> <ul style="list-style-type: none"> • Must complete work within 24 months. • Rates and terms vary based on an affordability index (which assesses a utility's ability to sustain the utility) • Interest rates: 0.25% - 2% Standard interest rate is 1%, but can vary • Terms may change at Public Works Board discretion. 	<p>Visit the Public Works Board website at http://www.pwb.wa.gov to obtain the latest information on pre-construction funding availability.</p> <p>A small amount of pre-construction funding may be available July 2012.</p> <p>Contact: Bruce Lund, Client Service Manager, 360-725-3163, Bruce.lund@commerce.wa.gov</p> <p>Client Service Representative contact information: https://fortress.wa.gov/com/pwbrfa/NewAppPages/StaffListingPage1.aspx</p>

PRE-CONSTRUCTION - continued

Program	Eligible Projects	Eligible Applicants	Funding Available	How To Apply
<p>ECOLOGY: INTEGRATED WATER QUALITY FUNDING PROGRAM State Water Pollution Control Revolving Fund</p> <p>Centennial Clean Water Fund</p>	<p>Design projects associated with publicly-owned wastewater and stormwater facilities.</p> <p>The integrated program also funds planning and implementation of nonpoint source pollution control activities.</p>	<p>Counties, cities, towns, conservation districts, or other political subdivision, municipal or quasi-municipal corporations, and tribes</p> <p><u>Preconstruction Set-aside</u> Jurisdictions listed above with a population of 25,000 or less and a MHI (median household income) below the statewide average receive priority for loan funds.</p> <p><u>Preconstruction Set-aside (Distressed Communities)</u> Jurisdictions listed above with a population of 25,000 or less and a MHI below 80% of the statewide average.</p>	<p>Loan, at either: (SFY 2013 interest rates)</p> <ul style="list-style-type: none"> • 2.7% interest for 6-20 year term, or • 1.4% interest for 5 year term <p><u>Pre-Construction Set-aside (Distressed Communities)</u> 50% forgivable principal loan and 50% loan, at either: (SFY 2013 interest rates)</p> <ul style="list-style-type: none"> • 2.7% interest for 6-20 year term, or • 1.4% interest for 5 year term <p>Note: SFY 2014 interest rates not yet determined.</p>	<p>State Fiscal Year 2014 application cycle closes on November 2, 2012.</p> <p>Applications typically accepted September 1 through first Friday in November.</p> <p>Contact: David Dunn 360-407-6503 david.dunn@ecy.wa.gov</p> <p>http://www.ecy.wa.gov/programs/wq/funding/funding.html</p>
<p>RCAC RURAL COMMUNITY ASSISTANCE CORPORATION Feasibility and Pre-Development Loans</p>	<p>Water and/or wastewater planning; environmental work; and other work to assist in developing an application for infrastructure improvements</p>	<p>Non-profit organizations, public agencies, tribes, and low-income rural communities with a 50,000 population or less, or 10,000 or less if guaranteed by USDA Rural Development financing</p>	<ul style="list-style-type: none"> • Maximum \$50,000 for feasibility loan • Maximum \$350,000 for pre-development loan • 1 year term • 5.5% interest rate 	<p>Applications accepted anytime</p> <p>Contact: Josh Griff 720-898-9463 jgriff@rcac.org</p> <p>Applications available on-line at www.rcac.org</p>

CONSTRUCTION and DESIGN/CONSTRUCTION

Program	Eligible Projects	Eligible Applicants	Funding Available	How To Apply
CDBG-GP Community Development Block Grant – General Purpose Grant Program	Final design and construction of domestic wastewater, drinking water, side connections, stormwater, streets, bridge, community facility, economic development, and housing rehabilitation projects.	Projects must principally benefit low- to moderate-income people in non-entitlement cities and counties. <ul style="list-style-type: none"> • Cities or towns with fewer than 50,000 people • Counties with fewer than 200,000 people 	Grant <ul style="list-style-type: none"> • Up to \$1 million • No match required, but local contribution and gap financing preferred 	Applications due once a year in March. Contact: Lynn Kohn 360-725-3042 lynn.kohn@commerce.wa.gov
PWTF Public Works Trust Fund – Construction Program	New construction, replacement, and repair of existing infrastructure for domestic water, sanitary sewer, stormwater, solid waste, road or bridge projects, and reasonable growth	Counties, cities, special purpose districts, and quasi-municipal organizations that meet certain requirements (contact a Client Service Representative for more information). No school or port districts. (*) NEW: <ul style="list-style-type: none"> • <u>Affordability Index:</u> Affordability Index (AI) is a measure of the consumers’ financial ability to pay for utility services. Applicants that qualify for AI terms can receive lower cost loan terms • <u>Performance based incentives:</u> Projects that meet contract incentives can qualify for slightly lower interest rate or longer repayment term 	Loan <ul style="list-style-type: none"> • \$15 million per jurisdiction for the 2014 funding year • Must complete work within 60 months • Rates and terms vary based on an affordability index (which assesses a utility’s ability to sustain the utility) • Interest rates: 0.25-2%; Standard interest rate is 1%, but can vary • Repayment Term: Up to 30 years. Standard repayment term is 20 years. The repayment term cannot exceed the life of the improvement. 	Applications accepted through May 11, 2012. Please visit http://www.pwb.wa.gov to apply online. Contact: Bruce Lund, Client Service Manager, 360-725-3163, Bruce.lund@commerce.wa.gov Client Service Representative contact information: https://fortress.wa.gov/com/pwbr/fa/NewAppPages/StaffListingPage1.aspx
RD U.S. Dept. of Agriculture Rural Development - Rural Utilities Service - Water and Waste Disposal Direct Loans and Grants	Pre-construction and construction associated with building, repairing, or improving drinking water, solid waste facilities and wastewater facilities	<ul style="list-style-type: none"> • Cities or towns with fewer than 10,000 population • Counties, special purpose districts, non-profit corporations or tribes unable to get funds from other sources at reasonable rates and terms 	Loans; Grants in some cases <ul style="list-style-type: none"> • Interest rates vary (currently 2.00 – 3.375% • Up to 40-year loan term • No pre-payment penalty 	Applications accepted year-round on a fund-available basis Contact: Gene Dobry 360-704-7733 eugene.dobry@wa.usda.gov http://www.rurdev.usda.gov/wa

CONSTRUCTION AND DESIGN/CONSTRUCTION – continued

Program	Eligible Projects	Eligible Applicants	Funding Available	How To Apply
<p>DWSRF Drinking Water State Revolving Fund</p>	<p>Drinking water system infrastructure projects aimed at increasing public health protection. The program now includes dedicated funding for subsidy.</p> <p>Principal forgiveness available for communities with high affordability index numbers and water system restructuring/ consolidation projects.</p>	<p>Community and non-community water systems (includes for-profit and non-profit systems, but not federal or state-owned systems); both privately- and publicly-owned systems are eligible</p>	<p>Loan</p> <ul style="list-style-type: none"> • 1 percent loan fee (water systems receiving subsidy are not subject to loan fees) • \$6 million per jurisdiction per year • \$12 million for jointly-owned projects • 1 to 1.5 percent interest rate • Loan repayment period: 20 years or life of the project, whichever is less • No local match required <p>Note: SFY 2014 interest rates and funding limits not yet determined.</p>	<p>Applications due March 1, 2013</p> <p>Contact: Karen Klocke 360-236-3116 karen.klocke@doh.wa.gov</p> <p>www.doh.wa.gov/ehp/dw/our_main_pages/dwsrf.htm</p>
<p>ECOLOGY: INTEGRATED WATER QUALITY FUNDING PROGRAM State Water Pollution Control Revolving Fund</p> <p>Centennial Clean Water Fund</p>	<p>Construction projects associated with publicly-owned wastewater and stormwater facilities.</p> <p>The integrated program also funds planning and implementation of nonpoint source pollution control activities.</p>	<p>Counties, cities, towns, conservation districts, or other political subdivision, municipal or quasi-municipal corporations, and tribes</p> <p><u>Hardship Assistance</u> Jurisdictions listed above with a population of 25,000 or less</p>	<p>Loan, at either: (SFY 2013 interest rate)</p> <ul style="list-style-type: none"> • 2.7% interest for 6-20 year term, or • 1.4% interest for 5-year term <p>Note: SFY 2014 interest rates not yet determined.</p> <p><u>Hardship assistance</u> for the construction of wastewater treatment facilities may be available in the form of a reduced interest rate, grant subsidy, or loan forgiveness. Hardship assistance is based on impact to residential ratepayers and the community MHI. Hardship funding is only available for portion of a facility serving existing residential need.</p>	<p>State Fiscal Year 2014 application cycle closes on November 2, 2012.</p> <p>Applications typically accepted September 1 through first Friday in November.</p> <p>Contact: David Dunn 360-407-6503 david.dunn@ecy.wa.gov</p> <p>http://www.ecy.wa.gov/programs/wq/funding/funding.html</p>

CONSTRUCTION and DESIGN/CONSTRUCTION – continued

Program	Eligible Projects	Eligible Applicants	Funding Available	How To Apply
<p>CERB Community Economic Revitalization Board - Construction Program</p>	<p>Projects must support significant job creation or significant private investment in the state.</p> <ul style="list-style-type: none"> • Bridges, roads and railroad spurs, domestic and industrial water, sanitary and storm sewers • Electricity, natural gas and telecommunications • General purpose industrial buildings, port facilities • Acquisition, construction, repair, reconstruction, replacement, rehabilitation 	<ul style="list-style-type: none"> • Counties, cities, towns, port districts, special districts • Federally-recognized tribes • Municipal and quasi-municipal corporations with economic development purposes. 	<p>Loans; grants in unique cases</p> <ul style="list-style-type: none"> • Public facility projects required by private sector expansion and job creation • Projects without a committed business allowed for rural areas • \$1 million maximum per project, per policy • Interest rates: 3% for non-distressed and 2.5% for distressed counties • 20-year term maximum • Requires 10% minimum match • Applicants must demonstrate gap in public project funding and need for CERB assistance • CERB is authority for funding approvals 	<p>Applications accepted year-round. The Board meets six times a year.</p> <p>Contact: Jacki Skaught 360-725-3161 jacki.skaught@commerce.wa.gov</p>
<p>RCAC RURAL COMMUNITY ASSISTANCE CORPORATION Construction Loans</p>	<p>Water, wastewater, solid waste and stormwater facilities that primarily serve low-income rural communities. Can include pre-development costs.</p>	<p>Non-profit organizations, public agencies, tribes, and low-income rural communities with a 50,000 population or less, or 10,000 populations or less if using Rural Development financing as the takeout</p>	<ul style="list-style-type: none"> • Maximum \$2 million with commitment letter for permanent financing • Security in permanent loan letter of conditions • 1-3 year term: 5.5% interest rate • 1% loan fee 	<p>Applications accepted anytime</p> <p>Contact: Josh Griff 720-898-9463 jgriff@rcac.org</p> <p>Applications available on-line at www.rcac.org</p>
<p>RCAC RURAL COMMUNITY ASSISTANCE CORPORATION Intermediate Term Loan</p>	<p>Water, wastewater, solid waste and stormwater facilities that primarily serve low-income rural communities.</p>	<p>Non-profit organizations, public agencies, tribes, and low-income rural communities with a 50,000 population or less.</p>	<ul style="list-style-type: none"> • For smaller capital needs, normally not to exceed \$100,000 • Maximum 20 year term • 5% interest rate • 1% loan fee 	<p>Applications accepted anytime</p> <p>Contact: Josh Griff 720-898-9463 jgriff@rcac.org</p> <p>Applications available on-line at www.rcac.org</p>

EMERGENCY

Project Phase/Program	Eligible Projects	Eligible Applicants	Funding Available	How To Apply
PWTF Public Works Trust Fund – Emergency Program	Projects necessary due to natural disaster, or immediate/emergent threat to public health and safety For domestic water systems, sanitary sewer, stormwater, solid waste, roads and bridges	Counties, cities, special purpose districts, and quasi-municipal organizations that meet certain requirements (contact a Client Service Representative for more information). No school or port districts.	No emergency funding is currently available.	Note: Availability of future funds is dependent on approval by the Governor and Legislature. Contact: Bruce Lund, Client Service Manager, 360-725-3163, Bruce.lund@commerce.wa.gov Client Service Representative contact information: https://fortress.wa.gov/com/pwbrfa/NewAppPages/StaffListingPage1.aspx
CDBG - IT Community Development Block Grant – Imminent Threat Grant Program	Repair unanticipated water, sewer and other public drainage facility damages that pose an immediate, urgent threat to public health and safety. A formal disaster must be declared	<ul style="list-style-type: none"> • Non-entitlement cities or towns with fewer than 50,000 people • Non-entitlement counties with fewer than 200,000 people 	Grant; pending availability of funds <ul style="list-style-type: none"> • Only eligible costs incurred after an emergency is formally declared can be reimbursed 	Applications accepted year-round. Contact: Lynn Kohn 360-725-3042 lynn.kohn@commerce.wa.gov
RD – ECWAG Emergency Community Water Assistance Grants	Domestic water projects needing emergency repairs due to an incident such as: a drought; earthquake; flood; chemical spill; fire; etc. A significant decline in quantity or quality of potable water supply that was caused by an emergency	Public bodies, tribes and private non-profit corporations serving rural areas with populations under 10,000	Grant; pending availability of funds <ul style="list-style-type: none"> • \$150,000 limit for incident related emergency repairs to an existing water system • \$500,000 limit to alleviate a significant decline in potable water supply caused by an emergency 	Applications accepted year-round on a fund-available basis Contact: Gene Dobry 360-704-7733 eugene.dobry@wa.usda.gov http://www.rurdev.usda.gov/wa
RCAC RURAL COMMUNITY ASSISTANCE CORPORATION Intermediate Term Loan	Water, wastewater, solid waste and stormwater facilities that primarily serve low-income rural communities.	Non-profit organizations, public agencies, tribes, and low-income rural communities with a 50,000 population or less.	<ul style="list-style-type: none"> • For smaller capital needs, normally not to exceed \$100,000 • Maximum 20 year term • 5% interest rate • 1% loan fee 	Applications accepted anytime Contact: Josh Griff 720-898-9463 jgriff@rcac.org Applications available on-line at www.rcac.org

