Equipping Skilled Nursing Facility Staff to Successfully Meet New CMS Requirements for Antimicrobial Stewardship and Infection Prevention

Marisa D’Angeli, MD, MPH
Washington State Department of Health
Healthcare Associated Infections Program

January 25, 2017
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WA State Department of Health
Healthcare-Associated Infections Program

Sara Podczervinski, RN, MPH, CIC, FAPIC
Program Manager and Epidemiologist
(206) 418-5519
Sara.podczervinski@doh.wa.gov

Marisa D’Angeli, MD, MPH
Medical Epidemiologist
(206) 418-5595
Marisa.dangeli@doh.wa.gov

Elyse Bevers, MPH
HAI Epidemiologist
(206) 418-5602
Elyse.bevers@doh.wa.gov

Kelly Kauber, MPH
Antimicrobial Resistance Epidemiologist
(206) 418-5589
Kelly.kauber@doh.wa.gov

Patty Montgomery, RN, MPH, CIC
HAI Consultant
(206) 418-5558
Patricia.montgomery@doh.wa.gov

Staci Kvak, RN, BSN, MSNc, MPHc
Antimicrobial Resistance

Nhan Le, MPHc
Healthcare Facility Inventory

Anika Larson, MPHc
Antimicrobial Resistance

Tashina Robinson, MS
CDC Public Health Associate Program
(206) 418-5500
Tashina.robinson@doh.wa.gov
Most of the HAI Team

Tashina

Patty

Monica (Clark Co)

Dana (Clark Co)

Sara

Kelly

Elyse

Marisa

Patty

Dana (Clark Co)

Nhañ

Kelly

Elyse

Sara

Marisa

Kelly

Patty

Marisa

Kelly

Patty
Outline

• Background of EQuIP Partnership
• Challenges facing IPs
• What is EQuIP?
• EQuIP Curriculum & Schedule
• Introduce Jumpstart Stewardship Workbook
• Q & A
EQuIP is a Partnership

- Other partners:
  - Local Public Health Departments
  - Washington Department of Social and Health Services
  - Leading Age
  - Washington Health Care Association
  - NADONA
  - And many others
Challenges

- Infection Preventionist role in nursing homes
  - Sometimes little or no specific IP training
  - Sometimes few internal resources
  - Limited time/resources for professional development
  - Wear many hats—IP, quality, safety, employee health, risk management, educator, prn staff
  - May lack computer and data analysis skills
  - High turnover
Challenges

• Recent changes in nursing home/post-acute care/rehab patients/residents
  – Older population
  – Higher acuity
  – More care time
  – More complex care
  – Shorter stays
Challenges

• 9/28/2016 New CMS requirements for long term care require
  – Updated infection prevention and control program
  – Infection prevention and control officer with training
  – Antibiotic stewardship program with antibiotic use protocols and system to monitor antibiotic use

Image: Edvard Munch, Scottish National Gallery of Modern Art
YOU ARE NOT ALONE
SNF Gap Survey on IP and AMS Resources

- Distributed to 212 Washington and 77 Idaho SNFs
- Dec 2016-Jan 2017
- Total responses 94 (33% response rate)
- Results are preliminary
SNF Gap Survey on IP and AMS Resources

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• Dec 2016-Jan 2017
• Total responses 94 (33% response rate)
• Results are preliminary
• Please complete the survey if you have not done so!
SNF Gap Survey on IP and AMS Resources
Total licensed beds

Note: Results are preliminary and may change as more responses are entered.
SNF Gap Survey on IP and AMS Resources

Facility Characteristics

- Hospital Affiliation: Non-Profit Facility
- Designated Infection Prevention & Control Program Staff
- Formal training IPCP staff

Note: Results are preliminary and may change as more responses are entered.
SNF Gap Survey on IP and AMS Resources

IP (hours/week)

Note: Results are preliminary and may change as more responses are entered.
EQuIP Gap Survey vs. ICAR

- Leadership
- Accountable Individual
- Expertise
- Policies
- Practices
- Pharmacy Report
- Feedback
- Education
- Nurse Education
- Provider Education

EQuIP Gap Survey
- 94 SNFs

ICAR Assessments
- 20 SNFs in Washington
Available IP & AMS
Educational Resources

• Association for Professionals in Infection Control (APIC) membership and trainings
• Certification Board of Infection Control and Epidemiology (CBIC) trainings and certification
• CDC Core Elements of Stewardship for Nursing Homes
• AHRQ Guide to Stewardship in Nursing Homes
What is EQuIP?

- Training and professional development program
- Focus on core competencies for infection prevention and antimicrobial stewardship
- Quality improvement
- Aligns with work of partners
EQuIP Model

**EDUCATION**
- Monthly webinars
- Recorded webinars
- Regional in-person workshops

**MENTORSHIP**
- Partnerships with experienced preventionists
- Conference calls with subject matter experts,

**COLLABORATIVE LEARNING**
- Shared documents and tools
- Discussion boards
- Collaborative improvement projects

**DATA SHARING**
- Healthcare-associated infection reports
- Local benchmarking reports
Web-based Education

- Basics and more advanced—tailored to nursing homes
- Surveillance and investigation tools
- Core curriculum to establish a stewardship program, and enhance IP practices
- Offered as live webinar or recorded
- Web-based menu of tools, templates and resources
- Some in-person events
Curriculum

• Antimicrobial stewardship
  – Understanding CDC core elements of stewardship
  – Establishing an AMS program
  – Selecting and implementing interventions
  – Assessing outcomes

• APIC and CBIC competencies for infection prevention
Combating HAIs & Antibiotic Resistant Bacteria

Detect

Protect

Prevent
Mentorship

• IP and stewardship mentors available to answer questions and direct you to resources
• CIC study groups
• Local and state public health experts

Ask a Mentor

Our staff are here to help you with questions and training. Submit a request

http://www.doh.wa.gov/YouandYourFamily/IllnessandDisease/HealthcareAssociatedInfections/EQuIP/LTC
Collaborative Learning

• Conference calls with experts on IP and AMS
• In depth discussions on specific topics
• Opportunity for case presentations
• Opportunity for small groups to collaborate on practice improvement interventions
• Share successes, discuss barriers, learn from each other
• Develop a network for support
Outcome Measures & Data Sharing

- Baseline and follow up facility self-assessment
- Track process measures
- Facility-onset LabID event *C. difficile* infections
- MDROs
- UTI
- Track antibiotic use
Participation and Enrollment

- Formal participation encouraged (not mandatory)
- Signed enrollment form by facility leadership
- Track facility self-assessment
- Certificate of attendance on webinars
- Be recognized on DOH Honor Roll for Stewardship in Nursing Homes
Antimicrobial Stewardship Honor Roll

The Washington State Department of Health would like to honor and commend facilities that have an antimicrobial stewardship program and are working to:

- improve antibiotic use
- reduce antibiotic resistance
- improve patient outcomes.

Current Honor Roll Members

For those interested in applying, please contact the Antimicrobial Stewardship Coordinator for the Honor Roll Program requirements and application. Applications will be reviewed quarterly in 2016.

Facilities listed below have implemented an antimicrobial stewardship program that is aligned with the criteria outlined by the Centers for Disease Control and Prevention (CDC) Core Elements of a Hospital Stewardship Program (see http://www.cdc.gov/getsma/healthcare/implementation/core-elements.html) CDC has based
Schedule

• Monthly live webinars
  – 4th Wednesday of the month
  – 10:00-11:00 AM

• Webinars recorded & archived for future viewing
  – Available on DOH website
**EQuIP Program**

The EQuIP program (Education, Quality and Infection Prevention) provides healthcare facility staff with educational support to improve:

- infection prevention
- antimicrobial stewardship, and
- quality of care
- quality improvement

through education, mentorship, collaborative learning, and data sharing.

The EQuIP model was created by Qualis Health, with funding from Centers for Medicare and Medicaid Services, in partnership with the Washington State Department of Health, Washington State Hospital Association (WSHA), and local chapters of the Association for Professionals in Infection Control (APIC). The program has expanded from its original focus on critical access hospitals, to include long term care facilities and outpatient settings.

**Critical Access Hospitals, Long Term Care Facilities and Outpatient Facilities**

Learn more about what EQuIP has to offer for your specific healthcare setting using the following links:

- EQuIP for Critical Access Hospitals (CAH)
- EQuIP for Long Term Care Facilities (LTC)
- EQuIP for Ambulatory Surgical Centers (ASC) - link to Qualis Health site
EQuIP for LTC

EQuIP for Long Term Care Facilities (LTC)

Antimicrobial Stewardship Resources

- CDC Core Elements of Antimicrobial Stewardship (CDC site)
- AHRQ Nursing home AMS guide

Ask a Mentor

Our staff are here to help you with questions and training. Submit a request
Coming soon:

- Enrollment Form
- Jump Start Stewardship Workbook
- Infection Prevention and Control Resources
Jump Start Stewardship Workbook

JumpStart Stewardship
Implementing Antibiotic Stewardship in Nursing Homes

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Key Steps for AMS Program

• Project management approach
• Key steps:
  – Current state assessment
  – Identifying key stakeholders
  – Building your AMS team
  – Selecting interventions
  – Measuring effectiveness
  – Identifying/mitigating barriers
  – Timeline for implementation
  – Charter and strategic plan
Current State Assessment

• Facility profile
  – # Beds
  – # Admissions
  – Average daily census
  – # C diff & MDROs

• Assess core elements of nursing home stewardship

• Most common infectious syndromes & treatment

• Antibiotic-use measurement
Selecting Interventions & Targets

• Institute for Healthcare Improvement/Centers for Disease Control drivers of stewardship
  – Leadership & culture change
  – Timely & appropriate initiation of abx
  – Appropriate administration & de-escalation of abx
  – Data monitoring, transparency, & stewardship

• For each potential intervention, assess
  – Impact
  – Political expediency
  – Resources required
  – Ease of implementation
Examples of Interventions

• Standardized AMS education for all residents, families, & care providers
• Duration and indication on all abx orders
• Standardized SBAR communication form for common infectious syndromes
• Antibiotic order sets for common infectious syndromes
• Prompt notification of culture and antibiotic susceptibility results
• UA when residents have localizing signs to urinary system
Measuring Effectiveness & Key Metrics

• Process measures
  – Assess adherence to intervention

• Outcome measures
  – Antibiotic use
  – MDROs
  – Facility-onset *C. diff.* infections

• Reporting
  – Frequency
  – To whom (AMS team/quality/leadership/prescribers/bedside staff)
## Implementation Timeline

### Antimicrobial Stewardship Implementation Plan

**Intervention:** Use AHRO. “Suspected UTI SBAR” for all communications to prescribers about resident’s potential UTI

<table>
<thead>
<tr>
<th>Task Description</th>
<th>Weeks</th>
<th>A = Primary accountable person</th>
<th>B = Secondary accountable person</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Develop draft UTI SBAR policy</td>
<td>1, 2</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>2. Seek policy approval from ASP Team</td>
<td>3</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3. Communicate goals and benefits to leadership</td>
<td>4</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4. Establish method of identifying residents for whom tool would be used</td>
<td>5, 6</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>5. Determine process and outcome metrics to track intervention, and begin tracking</td>
<td>7</td>
<td></td>
<td></td>
</tr>
<tr>
<td>6. Communicate changes and expectations to bedside staff and prescribers.</td>
<td>8</td>
<td></td>
<td></td>
</tr>
<tr>
<td>7. In-service for staff</td>
<td>9</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>8. Implement daily review and feedback</td>
<td>10</td>
<td>X</td>
<td>X</td>
</tr>
<tr>
<td>9. Evaluate effectiveness of intervention</td>
<td>11</td>
<td>X</td>
<td>X</td>
</tr>
<tr>
<td>10. Report metrics</td>
<td>12</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Primary Driver**
- Ensure timely and appropriate initiation of antibiotics
- Ensure appropriate administration and de-escalation of therapy
- Monitor data, improve transparency, & embed stewardship

**Owner:** Dr. Noah Abx, ASP Team Lead

**Target implementation date:** April 3, 2017

**DATE**

|-----------|-------|-------|--------|--------|--------|-------|--------|--------|-------|--------|--------|--------|-------|--------|--------|--------|-------|-------|-------|-------|-------|-------|-------|-------|

**TRACK (metrics to measure effectiveness of intervention)**

<table>
<thead>
<tr>
<th>Metric</th>
<th>Baseline</th>
<th>Year 1 Goal</th>
</tr>
</thead>
<tbody>
<tr>
<td>Proportion of UTI treatments for whom SBAR tool used</td>
<td></td>
<td></td>
</tr>
<tr>
<td>UTI antibiotic starts/month</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**DELIVER (Tangible products of implementation)**

<table>
<thead>
<tr>
<th>Name</th>
<th>Position</th>
</tr>
</thead>
<tbody>
<tr>
<td>Noah Abx, MD</td>
<td>Medical Director</td>
</tr>
<tr>
<td>Annie Botic, RN, DO</td>
<td>NOS</td>
</tr>
</tbody>
</table>
Project Charter & Strategic Plan

• Formal demonstration of leadership support

• Makes explicit
  – Strategy
  – Accountable individuals
  – Activities
  – Metrics
  – Reporting plan
Before next EQuIP webinar on Feb 22

- Register for webinar series
- Share the registration link with your colleagues
- Enroll in EQuIP for LTC
- Download Jump Start Stewardship Workbook
- Feel free to send suggestions or requested topics to marisa.dangeli@DOH.wa.gov
Q & A